

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
6 June 2002 (06.06.2002)

PCT

(10) International Publication Number  
**WO 02/43785 A2**

(51) International Patent Classification<sup>7</sup>: **A61L 27/00**

(21) International Application Number: PCT/US01/44481

(22) International Filing Date:  
28 November 2001 (28.11.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/250,023 29 November 2000 (29.11.2000) US  
60/298,253 12 June 2001 (12.06.2001) US

(71) Applicant (*for all designated States except US*): **OCULEX PHARMACEUTICALS, INC.** [US/US]; 601 W. California Street, Sunnyvale, CA 94086 (US).

(72) Inventor; and

(75) Inventor/Applicant (*for US only*): **WONG, Vernon, G.** [US/US]; 180 Sand Hill Circle, Menlo Park, CA 94025 (US).

(74) Agents: **REILLY, Philip, D.** et al.; Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— *without international search report and to be republished upon receipt of that report*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: METHODS FOR REDUCING OR PREVENTING TRANSPLANT REJECTION IN THE EYE AND INTRAOCULAR IMPLANTS FOR USE THEREFOR

(57) Abstract: Methods for reducing or preventing transplant rejection in the eye of an individual are described, comprising: a) performing an ocular transplant procedure; and b) implanting in the eye a bioerodible drug delivery system comprising an immunosuppressive agent and a bioerodible polymer.



**WO 02/43785 A2**

**METHODS FOR REDUCING OR PREVENTING TRANSPLANT REJECTION IN  
THE EYE AND INTRAOCULAR IMPLANTS FOR USE THEREFOR  
INVENTOR: VERNON G. WONG**

[00001] This application claims priority to U.S. Provisional Application serial No. 60/250,023, filed November 29, 2000, titled "Methods for Preventing Transplant Rejection in the Eye and Intraocular Implants for Use Thereof" and U.S. Provisional Application Serial No. 60/298,253, filed June 12, 2001, titled "Intraocular Dexamethasone Deliver System for Corneal Transplantation in Animal Model." Both of these Provisional applications are incorporated herein by reference.

**TECHNICAL FIELD**

[00002] This invention relates to the field of transplantation, in particular transplantation of components of the eye, and methods for preventing transplant rejection.

**BACKGROUND ART**

[00003] Certain conditions and diseases of the eye, such as corneal failure, keratoconus, corneal dystrophies, scarring, age related macular degeneration (AMD) and retinitis pigmentosa, have been treated using ocular transplant procedures such as corneal and retinal pigment epithelial (RPE) transplants. Transplant rejection is one of the problems which may arise from transplant procedures (Enzmann V et al. (1998). "Immunological problems of transplantation into the subretinal space." *Acta Anat (Basel)*. 162(2-3): 178-83). In spite of the overall success with corneal transplants, a substantial percentage of corneal grafts experience at least one rejection episode (PCT/US97/21393).

[00004] One of the problems with present immunosuppressive drug therapy is the inability to achieve adequate intraocular drug concentrations. Systemic immunosuppression may require prolonged exposure to high plasma concentrations so that therapeutic levels can be achieved in the eye. Overall drug delivery to the eye may be poor due to the short drug plasma half-life limiting exposure into intraocular tissues. In addition, this may turn lead to numerous negative side effects.

[00005] There is a continued need for improved intraocular sustained release drug therapies for patients following ocular transplant procedures.

[00006] All references cited in this patent are incorporated herein by reference in their entirety.

### DISCLOSURE OF THE INVENTION

[00007] One embodiment of the present invention provides a method for reducing or preventing transplant rejection in the eye of an individual, where the method comprises: a) performing an ocular transplant procedure; and b) implanting in the eye a bioerodible drug delivery system comprising an immunosuppressive agent and a bioerodible polymer.

[00008] Another embodiment of the invention provides a method for reducing or preventing transplant rejection in the eye of an individual, where the method comprises: a) performing an ocular transplant procedure; and b) implanting a solid body into the eye, said body comprising particles of an immunosuppressive agent entrapped within a bioerodible polymer, whereby said agent is released from the body by erosion of the polymer.

[00009] Another embodiment of the invention provides a method which includes placing in an eye of an individual a bioerodible drug delivery system, where the bioerodible drug delivery system includes an immunosuppressive agent and a bioerodible polymer; and where the eye of the individual has undergone or is undergoing an ocular transplant procedure. This method may be used to reduce or prevent transplant rejection.

[00010] Another embodiment of the invention provides a kit comprising: a) a bioerodible drug delivery system comprising an immunosuppressive agent and a bioerodible polymer, wherein the drug delivery system is designed to be implanted in the eye; and b) instructions for use. This kit may be used to reduce or prevent transplant rejection.

### MODES FOR CARRYING OUT THE INVENTION

#### **Definitions**

[00011] An "ocular transplant procedure," as used herein, refers to any transplant procedure performed in the eye. Non-limiting examples of ocular transplant procedures include, but are not limited to, retinal pigment epithelium (RPE) transplant and cornea transplant. It includes autograft, allograft and xenograft transplant procedures.

[00012] "Immunosuppressive agent," "agent," "immunosuppressive drug," and "drug," are used interchangeably herein, and refer to any agent which inhibits or prevents an immune response against the transplanted tissue following a transplant procedure.

Exemplary agents include, but are not limited to, dexamethasone, cyclosporin A, azathioprine, brequinar, gusperimus, 6-mercaptopurine, mizoribine, rapamycin, tacrolimus (FK-506), folic acid analogs (*e.g.*, denopterin, edatrexate, methotrexate, piritrexim, pteropterin, Tomudex®, trimetrexate), purine analogs (*e.g.*, cladribine, fludarabine, 6-mercaptopurine, thiamiprine, thioguanine), pyrimidine analogs (*e.g.*, ancitabine, azacitidine, 6-azauridine, carmofur, cytarabine, doxifluridine, emitefur, enocitabine, floxuridine, fluorouracil, gemcitabine, tegafur), fluocinolone, triaminolone, anecortave acetate, fluometholone, medrysone, and prednisolone.

[00013] An "implant" and a "drug delivery system," are used interchangeably herein, and include any bioerodible device for implantation in the eye which is capable of delivering a therapeutic level of drug to the eye.

[00014] To "implant" to "place" and to "insert" are equivalent as used in this patent and mean to place an object in the desired site by any means capable of placing the object at that site.

[00015] By "therapeutic level" is meant a level of drug sufficient to prevent, inhibit, or reduce the level of transplant rejection in the eye.

[00016] The term "bioerodible polymer" refers to polymers which degrade *in vivo*, and wherein erosion of the polymer over time is required to achieve the agent release kinetics according to the invention. Specifically, hydrogels such as methylcellulose which act to release drug through polymer swelling are specifically excluded from the term "bioerodible polymer". The terms "bioerodible" and "biodegradable" are equivalent and are used interchangeably in this patent.

[00017] An "individual" is a vertebrate, preferably mammal, more preferably a human. Mammals include, but are not limited to, humans, rodents, sport animals and pets, such as rats, dogs, and horses.

### **Methods for Reducing or Preventing Transplant Rejection**

[00018] Intraocular immunosuppressive drug delivery systems made of a biodegradable polymer matrix are provided which can release drug loads over various programmed time periods. When inserted into the eye these drug delivery systems provide therapeutic levels of immunosuppressive agent for reducing or preventing transplant rejection.

[00019] Accordingly, one embodiment of the present invention provides a method

for reducing or preventing transplant rejection in the eye of an individual, comprising: performing an ocular transplant procedure; and implanting in the eye a bioerodible drug delivery system comprising an immunosuppressive agent and a bioerodible polymer.

[00020] In another embodiment of the invention, a method for reducing or preventing transplant rejection in the eye of an individual is provided, comprising: performing an ocular transplant procedure; and implanting a solid body into the eye, said body comprising particles of an immunosuppressive agent entrapped within a bioerodible polymer, whereby said agent is released from the body by erosion of the polymer.

[00021] Ocular transplant procedures which may be used with the methods of the invention include, but are not limited to, cornea transplant and RPE transplant. Methods for performing these transplant procedures are well known in the art. Methods for performing RPE transplants are described in, for example, U.S. Pat. Nos. 5,962,027, 6,045,791, and 5,941,250 and in *Eye Graefes Arch Clin Exp Ophthalmol* 1997 Mar; 235(3):149-58; *Biochem Biophys Res Commun* 2000 Feb 24; 268(3): 842-6; *Ophthalmic Surg* 1991 Feb; 22(2): 102-8. Methods for performing corneal transplants are described in, for example, U.S. Pat. No. 5,755,785, and in *Eye* 1995; 9 (Pt 6 Su):6-12; *Curr Opin Ophthalmol* 1992 Aug; 3 (4): 473-81; *Ophthalmic Surg Lasers* 1998 Apr; 29 (4): 305-8; *Ophthalmology* 2000 Apr; 107 (4): 719-24; and *Jpn J Ophthalmol* 1999 Nov-Dec; 43(6): 502-8. Exemplary methods for corneal and RPE transplantation in animal models are described in Examples 1, 4 and 5 below. In a preferred embodiment, the ocular transplant procedure is a cornea transplant. In another preferred embodiment, the ocular transplant procedure is an RPE procedure.

[00022] The drug delivery system may be implanted at various sites in the eye, depending on the size, shape and formulation of the implant, the type of transplant procedure, etc. Suitable sites include but are not limited to the anterior chamber, anterior segment, posterior chamber, posterior segment, vitreous cavity, suprachoroidal space, subconjunctiva, episcleral, intracorneal, epicorneal and sclera. In a preferred embodiment, the drug delivery system is placed in the anterior chamber of the eye. In another preferred embodiment, the drug delivery system is placed in the vitreous cavity.

[00023] The implants may be inserted into the eye by a variety of methods, including placement by forceps or by trocar following making an incision in the sclera (for example, a 2-3 mm incision) or other suitable site. In some cases, the implant may be able to be placed by trocar without making a separate incision, but instead by punching a hole directly

into the eye with the trocar. The method of placement may influence the drug release kinetics. For example, implanting the device into the vitreous with a trocar may result in placement of the device deeper within the vitreous than placement by forceps, which may result in the implant being closer to the edge of the vitreous. The location of the implanted device may influence the concentration gradients of drug surrounding the device, and thus influence the release rates (*e.g.*, a device placed closer to the edge of the vitreous may result in a slower release rate).

[00024] U.S. Pat. No. 5,869,079 further describes locations for intraocular implants and methods for insertion (see in particular col. 6-7).

[00025] In one embodiment, the implant delivers the immunosuppressive agent for at least about 5 days. In other embodiments, the implant delivers the immunosuppressive agent for at least about one week, at least about 2 weeks, at least about 3 weeks, at least about four weeks, at least about five weeks, at least about six weeks, at least about seven weeks, at least about eight weeks, at least about nine weeks, at least about 10 weeks, and at least about 12 weeks. The preferred duration of drug release may be determined by the type of transplant, the medical history of the patient, etc. In one embodiment, drug release may occur for up to 6 months, or one year, or longer. In one embodiment, more than one implant may be sequentially implanted into the vitreous in order to maintain drug concentrations for even longer periods. In one embodiment, more than one implant may be sequentially implanted into the eye in order to maintain therapeutic drug concentrations for longer periods. Co-owned U.S. Pat. Appl. Serial No. 09/693,008, titled "Methods For Treating Inflammation-Mediated Conditions of the Eye," to Wong *et al.* filed October 20, 2000, which is expressly incorporated herein by reference in its entirety, further describes implants and methods for making the implants which can achieve and maintain particular drug concentrations for programmed extended periods of time.

[00026] The methods of the invention are preferably performed on vertebrates, preferably mammal, more preferably a human. Mammals include, but are not limited to, humans, rodents, sport animals and pets, such as rats, dogs and horses.

### **Implants**

[00027] The formulation of the implants for use in the invention may vary according to the preferred drug release profile, the particular immunosuppressive agent used, the transplant procedure, the medical history of the patient and other factors affecting the

formulation.

[00028] The implants of the invention are formulated with particles of the immunosuppressive agent associated with the bioerodible polymer matrix. In a preferred embodiment the immunosuppressive agent is entrapped within the bioerodible polymer matrix. Without being bound by theory, we hypothesize that release of the agent is achieved by erosion of the polymer followed by exposure of previously entrapped agent particles to the eye, and subsequent dissolution and release of agent. The release kinetics achieved by this form of drug release are different than that achieved through formulations which release drug through polymer swelling, such as with hydrogels such as methylcellulose. In that case, the drug is not released through polymer erosion, but through polymer swelling, which release drug as liquid diffuses through the pathways exposed. The parameters which may determine the release kinetics include the size of the drug particles, the water solubility of the drug, the ratio of drug to polymer, the method of manufacture, the surface area exposed, and the erosion rate of the polymer.

[00029] In a preferred embodiment the immunosuppressive agent is selected from the group consisting of dexamethasone, cyclosporin A, azathioprine, brequinar, gusperimus, 6-mercaptopurine, mizoribine, rapamycin, tacrolimus (FK-506), folic acid analogs (*e.g.*, denopterin, edatrexate, methotrexate, piritrexim, pteropterin, Tomudex®, trimetrexate), purine analogs (*e.g.*, cladribine, fludarabine, 6-mercaptopurine, thiamiprine, thiaguanine), pyrimidine analogs (*e.g.*, ancitabine, azacitidine, 6-azauridine, carmofur, cytarabine, doxifluridine, emitefur, enocitabine, floxuridine, fluorouracil, gemcitabine, tegafur) fluocinolone, triaminolone, anecortave acetate, fluorometholone, medrysone, and prednisolone. In a preferred embodiment, the immunosuppressive agent is dexamethasone. In another preferred embodiment, the immunosuppressive agent is cyclosporin A. In another embodiment, the bioerodible implant comprises more than one immunosuppressive agent.

[00030] The implants may further comprise one or more additional therapeutic agents, such as antibiotics or antiinflammatory agents. Specific antibiotics include, but are not limited to:

Antibacterial antibiotics:

[00031] Aminoglycosides (*e.g.*, amikacin, apramycin, arbekacin, bambarmycins, butirosin, dibekacin, dihydrostreptomycin, fortimicin(s), gentamicin, isepamicin, kanamycin, micromomicin, neomycin, neomycin undecylenate, netilmicin, paromomycin,

ribostamycin, sisomicin, spectinomycin, streptomycin, tobramycin, trospectomycin), amphenicols (*e.g.*, azidamfenicol, chloramphenicol, florfenicol, thiamphenicol), ansamycins (*e.g.*, rifamide, rifampin, rifamycin sv, rifapentine, rifaximin),  $\beta$ -lactams (*e.g.*, carbacephems (*e.g.*, loracarbef), carbapenems (*e.g.*, biapenem, imipenem, meropenem, panipenem), cephalosporins (*e.g.*, cefaclor, cefadroxil, cefamandole, cefatrizine, cefazedone, cefazolin, cefcapene pivoxil, cefclidin, cefdinir, cefditoren, cefepime, cefetamet, cefixime, cefmenoxime, cefodizime, cefonicid, cefoperazone, ceforanide, cefotaxime, cefotiam, cefozopran, cefpimizole, cefpiramide, cefpirome, cefpodoxime proxetil, cefprozil, cefroxadine, cefsulodin, ceftazidime, cefteram, ceftezole, ceftibuten, ceftizoxime, ceftriaxone, cefuroxime, cefuzonam, cephacetrile sodium, cephalalexin, cephaloglycin, cephaloridine, cephalosporin, cephalothin, cephapirin sodium, cephradine, pivcefalexin), cephamycins (*e.g.*, cefbuperazone, cefmetazole, cefminox, cefotetan, cefoxitin), monobactams (*e.g.*, aztreonam, carumonam, tigemonam), oxacephems, flomoxef, moxalactam), penicillins (*e.g.*, amdinocillin, amdinocillin pivoxil, amoxicillin, ampicillin, apalcillin, aspoxicillin, azidocillin, azlocillin, bacampicillin, benzylpenicillinic acid, benzylpenicillin sodium, carbenicillin, carindacillin, clometocillin, cloxacillin, cyclacillin, dicloxacillin, epicillin, fenbenicillin, floxacillin, hetacillin, lenampicillin, metampicillin, methicillin sodium, mezlocillin, nafcillin sodium, oxacillin, penamecillin, penethamate hydriodide, penicillin g benethamine, penicillin g benzathine, penicillin g benzhydrylamine, penicillin g calcium, penicillin g hydrabamine, penicillin g potassium, penicillin g procaine, penicillin n, penicillin o, penicillin v, penicillin v benzathine, penicillin v hydrabamine, penimepicycline, phenethicillin potassium, piperacillin, pivampicillin, propicillin, quinacillin, sulbenicillin, sultamicillin, talampicillin, temocillin, ticarcillin), other (*e.g.*, ritipenem), lincosamides (*e.g.*, clindamycin, lincomycin), macrolides (*e.g.*, azithromycin, carbomycin, clarithromycin, dirithromycin, erythromycin, erythromycin acistrate, erythromycin estolate, erythromycin glucoheptonate, erythromycin lactobionate, erythromycin propionate, erythromycin stearate, josamycin, leucomycins, midecamycins, miokamycin, oleandomycin, primycin, rokitamycin, rosaramicin, roxithromycin, spiramycin, troleandomycin), polypeptides (*e.g.*, amphomycin, bacitracin, capreomycin, colistin, enduracidin, enviomycin, fusafungine, gramicidin s, gramicidin(s), mikamycin, polymyxin, pristnamycin, ristocetin, teicoplanin, thiostrepton, tuberactinomycin, tyrocidine, tyrothricin, vancomycin, viomycin, virginiamycin, zinc bacitracin), tetracyclines (*e.g.*, apicycline, chlortetracycline, clomocycline, demeclocycline,



doxycycline, guamecycline, lymecycline, meclocycline, methacycline, minocycline, oxytetracycline, penimepicycline, pipacycline, rolitetracycline, sancycline, tetracycline), and others (*e.g.*, cycloserine, mupirocin, tuberin).

Synthetic antibacterials:

[00032] 2,4-Diaminopyrimidines (*e.g.*, brodimoprim, tetroxoprim, trimethoprim), nitrofurans (*e.g.*, furaltadone, furazolium chloride, nifuradene, nifuratel, nifurfoline, nifurpirinol, nifurpazine, nifurtoinol, nitrofurantoin), quinolones and analogs (*e.g.*, cinoxacin, ciprofloxacin, clinafloxacin, difloxacin, enoxacin, fleroxacin, flumequine, grepafloxacin, lomefloxacin, miloxacin, nadifloxacin, nalidixic acid, norfloxacin, ofloxacin, oxolinic acid, pazufloxacin, pefloxacin, pipemidic acid, piromidic acid, rosoxacin, rifloxacin, sparfloxacin, temafloxacin, tosufloxacin, trovafloxacin), sulfonamides (*e.g.*, acetyl sulfamethoxypyrazine, benzylsulfamide, chloramine-b, chloramine-t, dichloramine t,  $n^2$ -formylsulfisomidine,  $n^4$ - $\beta$ -d-glucosylsulfanilamide, mafenide, 4'-(methylsulfamoyl)sulfanilamide, noprilsulfamide, phthalylsulfacetamide, phthalylsulfathiazole, salazosulfadimidine, succinylsulfathiazole, sulfabenzamide, sulfacetamide, sulfachlorpyridazine, sulfachrysoidine, sulfacytine, sulfadiazine, sulfadicramide, sulfadimethoxine, sulfadoxine, sulfaethidole, sulfaguanidine, sulfaguanol, sulfalene, sulfaloxic acid, sulfamerazine, sulfameter, sulfamethazine, sulfamethizole, sulfamethomidine, sulfamethoxazole, sulfamethoxypyridazine, sulfametrole, sulfamidochrysoidine, sulfamoxole, sulfanilamide, 4-sulfanilamidosalicylic acid,  $n^4$ -sulfanilylsulfanilamide, sulfanilylurea, n-sulfanilyl-3,4-xylamide, sulfanitran, sulfaperine, sulfaphenazole, sulfaproxyline, sulfapyrazine, sulfapyridine, sulfasomizole, sulfasymazine, sulfathiazole, sulfathiourea, sulfatolamide, sulfisomidine, sulfisoxazole) sulfones (*e.g.*, acedapsone, acediasulfone, acetosulfone sodium, dapsone, diathymosulfone, glucosulfone sodium, solasulfone, succisulfone, sulfanilic acid, p-sulfanilylbenzylamine, sulfoxone sodium, thiazolsulfone), and others (*e.g.*, clofoctol, hexedine, methenamine, methenamine anhydromethylene-citrate, methenamine hippurate, methenamine mandelate, methenamine sulfosalicylate, nitroxoline, taurolidine, xibornol).

Antifungal antibiotics:

[00033] Polyenes (*e.g.*, amphotericin b, candicidin, dermostatin, filipin, fungichromin, hachimycin, hamycin, lucensomycin, mepartricin, natamycin, nystatin, pecilocin, perimycin), others (*e.g.*, azaserine, griseofulvin, oligomycins, neomycin undecylenate, pyrrolnitrin, siccanin, tubercidin, viridin).

Synthetic antifungals:

[00034] Alkylamines (*e.g.*, butenafine, naftifine, terbinafine), imidazoles (*e.g.*, bifonazole, butoconazole, chlordanol, chlormidazole, cloconazole, clotrimazole, econazole, enilconazole, fenticonazole, flutrimazole, isoconazole, ketoconazole, lanoconazole, miconazole, omoconazole, oxiconazole nitrate, sertaconazole, sulconazole, tioconazole), thiocarbamates (*e.g.*, tolclate, tolindate, tolinaftate), triazoles (*e.g.*, fluconazole, itraconazole, saperconazole, terconazole) others (*e.g.*, acrisorcin, amorolfine, biphenamine, bromosalicylchloranilide, buclosamide, calcium propionate, chlorphenesin, ciclopirox, cloxyquin, coparaffinate, diamthazole dihydrochloride, exalamide, flucytosine, halethazole, hexetidine, loflucarban, nifuratel, potassium iodide, propionic acid, pyrrhione, salicylanilide, sodium propionate, sulbentine, tenonitrozone, triacetin, ujothion, undecylenic acid, zinc propionate).

Antineoplastic:

[00035] Antibiotics and analogs (*e.g.*, aclacinomycins, actinomycin f<sub>1</sub>, anthramycin, azaserine, bleomycins, cactinomycin, carubicin, carzinophilin, chromomycins, dactinomycin, daunorubicin, 6-diazo-5-oxo-L-norleucine, doxorubicin, epirubicin, idarubicin, menogaril, mitomycins, mycophenolic acid, nogalamycin, olivomycines, peplomycin, pirarubicin, plicamycin, porfiromycin, puromycin, streptonigrin, streptozocin, tubercidin, zinostatin, zorubicin), antimetabolites (*e.g.* folic acid analogs (*e.g.*, denopterin, edatrexate, methotrexate, piritrexim, pteropterin, Tomudex<sup>®</sup>, trimetrexate), purine analogs (*e.g.*, cladribine, fludarabine, 6-mercaptopurine, thiamiprine, thioguanine), pyrimidine analogs (*e.g.*, ancitabine, azacitidine, 6-azauridine, carmofur, cytarabine, doxifluridine, emitefur, enocitabine, floxuridine, fluorouracil, gemcitabine, tagafur).

Specific antiinflammatory agents include, but are not limited to:Steroidal antiinflammatory agents:

[00036] 21-acetoxypregnenolone, alclometasone, algestone, amcinonide, beclomethasone, betamethasone, budesonide, chloroprednisone, clobetasol, clobetasone, clocortolone, cloprednol, corticosterone, cortisone, cortivazol, deflazacort, desonide, desoximetasone, dexamethasone, diflorasone, diflucortolone, difluprednate, enoxolone, fluazacort, flucoronide, flumethasone, flunisolide, fluocinolone acetonide, fluocinonide, fluocortin butyl, fluocortolone, fluorometholone, fluperolone acetate, fluprednidene acetate, fluprednisolone, flurandrenolide, fluticasone propionate, formocortol, halcinonide, halobetasol propionate, halometasone, halopredone acetate, hydrocortamate,

hydrocortisone, loteprednol etabonate, mazipredone, medrysone, meprednisone, methylprednisolone, mometasone furoate, paramethasone, prednicarbate, prednisolone, prednisolone 25-diethylamino-acetate, prednisolone sodium phosphate, prednisone, prednival, prednylidene, rimexolone, tixocortol, triamcinolone, triamcinolone acetonide, triamcinolone benetonide, and triamcinolone hexacetonide.

Non-steroidal antiinflammatory agents:

[00037] Aminoarylcarboxylic acid derivatives (e.g., enfenamic acid, etofenamate, flufenamic acid, isonixin, meclofenamic acid, mefenamic acid, niflumic acid, talniflumate, terofenamate, tolfenamic acid), arylacetic acid derivatives (e.g., aceclofenac, acemetacin, alclofenac, amfenac, amtolmetin guacil, bromfenac, bufexamac, cinmetacin, clopirac, diclofenac sodium, etodolac, felbinac, fenclozic acid, fentiazac, glucametacin, ibufenac, indomethacin, isofezolac, isoxepac, lonazolac, metiazinic acid, mofezolac, oxametacine, pirazolac, proglumetacin, sulindac, tiaramide, tolmetin, tropesin, zomepirac), arylbutyric acid derivatives (e.g., bumadizon, butibufen, fenbufen, xenbucin), arylcarboxylic acids (e.g., clidanac, ketorolac, tinoridine), arylpropionic acid derivatives (e.g., alminoprofen, benoxaprofen, bermoprofen, bucloxic acid, carprofen, fenoprofen, flunoxaprofen, flurbiprofen, ibuprofen, ibuproxam, indoprofen, ketoprofen, loxoprofen, naproxen, oxaprozin, piketoprolen, pirprofen, pranoprofen, protizinic acid, suprofen, tiaprofenic acid, ximoprofen, zaltoprofen), pyrazoles (e.g., difenamizole, epirizole), pyrazolones (e.g., apazone, benzpiperylon, feprazone, mofebutazone, morazone, oxyphenbutazone, phenylbutazone, pipebuzone, propyphenazone, ramifenazone, suxibuzone, thiazolinobutazone), salicylic acid derivatives (e.g., acetaminosalol, aspirin, benorylate, bromosaligenin, calcium acetylsalicylate, diflunisal, etersalate, fendosal, gentisic acid, glycol salicylate, imidazole salicylate, lysine acetylsalicylate, mesalamine, morpholine salicylate, 1-naphthyl salicylate, olsalazine, parsalimide, phenyl acetylsalicylate, phenyl salicylate, salacetamide, salicylamide *o*-acetic acid, salicylsulfuric acid, salsalate, sulfasalazine), thiazinecarboxamides (e.g., ampiroxicam, droxicam, isoxicam, lornoxicam, piroxicam, tenoxicam),  $\epsilon$ -acetamidocaproic acid, *s*-adenosylmethionine, 3-amino-4-hydroxybutyric acid, amixetrine, bendazac, benzydamine,  $\alpha$ -bisabolol, bucolome, difenpiramide, ditazol, emorfazone, fepradinol, guaiazulene, nabumetone, nimesulide, oxaceprol, paranyline, perisoxal, proquazone, superoxide dismutase, tenidap, and zileuton.

[00038] The immunosuppressive agent is preferably from about 10 to 90% by weight

of the implant. More preferably, the agent is from about 50 to about 80% by weight of the implant. In a preferred embodiment, the agent comprises about 50% by weight of the implant. In a preferred embodiment, the agent comprises about 70% by weight of the implant.

[00039] The implants are preferably monolithic, *i.e.* having the immunosuppressive agent homogeneously distributed through the polymeric matrix. In this patent, by homogeneously distributed we mean that the immunosuppressive agent is distributed evenly enough that no detrimental fluctuations in rate of immunosuppressive agent release occur because of uneven distribution of the immunosuppressive agent in the polymer matrix. The selection of the polymeric composition to be employed will vary with the desired release kinetics, the location of the implant, patient tolerance, the nature of the transplant procedure and the like. Characteristics of the polymers will include biodegradability at the site of implantation, compatibility with the agent of interest, ease of encapsulation, water insolubility, and the like. Preferably, the polymeric matrix will not be fully degraded until the drug load has been released. The polymer will usually comprise at least about 10, more usually at least about 20 weight percent of the implant. In one embodiment, the implant comprises more than one polymer.

[00040] Biodegradable polymeric compositions which may be employed may be organic esters or ethers, which when degraded result in physiologically acceptable degradation products, including the monomers. Anhydrides, amides, orthoesters or the like, by themselves or in combination with other monomers, may find use. The polymers may be condensation polymers. The polymers may be cross-linked or non-cross-linked, usually not more than lightly cross-linked, generally less than 5%, usually less than 1% cross-linked. For the most part, besides carbon and hydrogen, the polymers will include oxygen and nitrogen, particularly oxygen. The oxygen may be present as oxy, *e.g.*, hydroxy or ether, carbonyl, *e.g.*, non-oxo-carbonyl, such as carboxylic acid ester, and the like. The nitrogen may be present as amide, cyano and amino. The biodegradable polymers set forth in Heller, Biodegradable Polymers in Controlled Drug Delivery, in: CRC Critical Reviews in Therapeutic Drug Carrier Systems, Vol. 1. CRC Press, Boca Raton, FL (1987), may be used.

[00041] Of particular interest are polymers of hydroxylaliphatic carboxylic acids, either homo- or copolymers, and polysaccharides. Included among the polyesters of interest are polymers of D-lactic acid, L-lactic acid, racemic lactic acid, glycolic acid,

polycaprolactone, and combinations thereof. By employing the L-lactate or D-lactate, a slowly biodegrading polymer is achieved, while degradation is substantially enhanced with the racemate. Copolymers of glycolic and lactic acid are of particular interest, where the rate of biodegradation is controlled by the ratio of glycolic to lactic acid. The % of polylactic acid in the polylactic acid polyglycolic acid (PLGA) copolymer can be 0-100%, preferably about 15-85%, more preferably about 35-65%. In a particularly preferred embodiment, a 50/50 PLGA copolymer is used. The most rapidly degraded copolymer has roughly equal amounts of glycolic and lactic acid, where either homopolymer is more resistant to degradation. The ratio of glycolic acid to lactic acid will also affect the brittleness of in the implant, where a more flexible implant is desirable for larger geometries. The size of the polymer particles is preferably about 1-100  $\mu\text{m}$  in diameter, more preferably about 5-50  $\mu\text{m}$  in diameter, more preferably about 9-12  $\mu\text{m}$  in diameter, still more preferably about 10  $\mu\text{m}$  in diameter.

[00042] Among the polysaccharides of interest are calcium alginate, and functionalized celluloses, particularly carboxymethylcellulose esters characterized by being biodegradable, water insoluble, a molecular weight of about 5 kD to 500 kD, etc. In one embodiment, the implant comprises hydroxypropyl methylcellulose (HPMC).

[00043] Additionally, release modulators such as those described in U.S. Patent No. 5,869,079 may be included in the implants. The amount of release modulator employed will be dependent on the desired release profile, the activity of the modulator, and on the release profile of the immunosuppressive agent in the absence of modulator.

[00044] Other agents may be employed in the formulation for a variety of purposes. For example, buffering agents and preservatives may be employed. Water soluble preservatives which may be employed include sodium bisulfite, sodium bisulfate, sodium thiosulfate, benzalkonium chloride, chlorobutanol, thimerosal, phenylmercuric acetate, phenylmercuric nitrate, methylparaben, polyvinyl alcohol and phenylethyl alcohol. These agents may be present in individual amounts of from about 0.001 to about 5% by weight and preferably about 0.01 to about 2%. Suitable water soluble buffering agents that may be employed are sodium carbonate, sodium borate, sodium phosphate, sodium acetate, sodium bicarbonate, etc., as approved by the FDA for the desired route of administration. These agents may be present in amounts sufficient to maintain a pH of the system of between 2 to 9 and preferably 4 to 8. As such the buffering agent may be as much as 5% on a weight to

weight basis of the total composition. Electrolytes such as sodium chloride and potassium chloride may also be included in the formulation. Where the buffering agent or enhancer is hydrophilic, it may also act as a release accelerator. Hydrophilic additives act to increase the release rates through faster dissolution of the material surrounding the drug particles, which increases the surface area of the drug exposed, thereby increasing the rate of drug bioerosion. Similarly, a hydrophobic buffering agent or enhancer dissolve more slowly, slowing the exposure of drug particles, and thereby slowing the rate of drug bioerosion.

**[00045]** The proportions of immunosuppressive agent, polymer, and any other modifiers may be empirically determined by formulating several implants with varying proportions. A USP approved method for dissolution or release test can be used to measure the rate of release (USP 23; NF 18 (1995) pp. 1790-1798). For example, using the infinite sink method, a weighed sample of the drug delivery system is added to a measured volume of a solution containing 0.9% NaCl in water, where the solution volume will be such that the drug concentration is after release is less than 5% of saturation. The mixture is maintained at 37°C and stirred slowly to maintain the implants in suspension. The appearance of the dissolved drug as a function of time may be followed by various methods known in the art, such as spectrophotometrically, HPLC, mass spectroscopy, etc. until the absorbance becomes constant or until greater than 90% of the drug has been released.

**[00046]** The release kinetics of the drug delivery systems of the invention are dependent in part on the surface area of the implants. Larger surface area exposes more polymer to the eye, causing faster erosion and dissolution of the drug particles entrapped by the polymer. The size and form of the implant can be used to control the rate of release, period of treatment, and drug concentration at the site of implantation. Larger implants will deliver a proportionately larger dose, but depending on the surface to mass ratio, may have a slower release rate. The implants may be particles, sheets, patches, plaques, films, discs, fibers, microcapsules and the like and may be of any size or shape compatible with the selected site of insertion, as long as the implants have the desired release kinetics. Preferably, the implant to be inserted is formulated as a single particle. Preferably, the implant will not migrate from the insertion site following implantation. The upper limit for the implant size will be determined by factors such as the desired release kinetics, location of the implant in the eye, toleration for the implant, size limitations on insertion, ease of handling, etc. For example, the vitreous chamber is able to accommodate relatively large implants of varying geometries, having diameters of 1 to 3 mm. In a preferred

embodiment, the implant is a cylindrical pellet (*e.g.*, rod) with dimensions of about 2mm x 0.75mm diameter. In another preferred embodiment, the implant is a cylindrical pellet (*e.g.*, rod) with dimensions of about 1 mm x 380  $\mu$ m diameter. The implants will also preferably be at least somewhat flexible so as to facilitate both insertion of the implant in the eye and accommodation of the implant. The total weight of the implant is preferably about 50-5000  $\mu$ g, more preferably about 100-1000  $\mu$ g. In one embodiment, the implant is about 500  $\mu$ g. In a particularly preferred embodiment, the implant is about 1000  $\mu$ g. In another particularly preferred embodiment, the implant is about 120  $\mu$ g. U.S. Pat. No. 5,869,079 further describes preferred implant sizes for particular regions of the eye, as well as preferred sizes for particular implant shapes.

[00047] In a preferred embodiment, a solid bioerodible implant for reducing or preventing transplant rejection in the eye is provided, comprising about 50% by weight of dexamethasone, about 15% by weight of hydroxypropyl methylcellulose (HPMC) and about 35% by weight of polylactic polyglycolic acid (PLGA).

[00048] In another preferred embodiment, a solid bioerodible implant for reducing or preventing transplant rejection in the eye is provided, comprising about 70% by weight of dexamethasone and about 30% by weight of polylactic polyglycolic acid (PLGA).

[00049] In another preferred embodiment, a solid bioerodible implant for reducing or preventing transplant rejection in the eye is provided, comprising about 50% by weight of dexamethasone and about 50% by weight of polylactic polyglycolic acid (PLGA).

[00050] The preferred supplier of PLGA is Boehringer Ingelheim and the preferred PLGA products are Resomer RG 502 and Resomer RG 502H.

[00051] In a preferred embodiment, the solid bioerodible implant includes about 50% by weight of dexamethasone, about 15% by weight of hydroxypropyl methylcellulose (HPMC) and about 35% by weight of Resomer RG 502H PLGA.

[00052] In a preferred embodiment, the solid bioerodible implant includes about 60% by weight of dexamethasone, about 30% by weight of Resomer RG 502H PLGA, and about 10% by weight of Resomer RG 502 PLGA.

**Methods for making the implants**

[00053] Various techniques may be employed to produce the implants. Useful techniques include phase separation methods, interfacial methods, extrusion methods, compression methods, molding methods, injection molding methods, heat press methods and the like.

[00054] Choice of the technique and manipulation of the technique parameters employed to produce the implants can influence the release rates of the drug. Room temperature compression methods result in an implant with discrete microparticles of drug and polymer interspersed. Extrusion methods result in implants with a progressively more homogenous dispersion of the drug within the polymer, as the production temperature is increased. When using extrusion methods, the polymer and drug are chosen to as to be stable at the temperatures required for manufacturing, usually at least about 85°C. Extrusion methods use temperatures of about 25°C to about 150°C, more preferably about 65°C to about 130°C. Generally, compression methods yield implants with faster release rates than extrusion methods, and higher temperatures yield implants with slower release rates.

[00055] In a preferred embodiment, compression methods are used to produce the implants of the invention. Preferably, compression methods use pressures of 50-150 psi, more preferably about 70-80 psi, even more preferably about 76 psi, and use temperatures of about 0°C to about 115°C, more preferably about 25°C. In another preferred embodiment, extrusion methods are used. Preferably, implants produced by extrusion methods are heated to a temperature range of about 60°C to about 150°C for drug/polymer mixing, preferably about 85°C, preferably about 130°C, for a time period of about 0 to 1 hour, 0 to 30 minutes, 5-15 minutes, preferably about 10 minutes, preferably about 0 to 5 min, preferably about 1 hour. Preferably, the implants are then extruded at a temperature of about 60°C to about 130°C, preferably about 95°C, preferably about 85°C, preferably about 75°C.

[00056] U.S. Pat. No. 4,997,652 further describes suitable methods for making the implants of the invention, and is herein incorporated by reference in its entirety.

**Kit for the administration of the implants**

[00057] In another aspect of the invention, a kit for treating or preventing transplant



rejection in the eye is provided, comprising a bioerodible drug delivery system comprising an immunosuppressive agent and a bioerodible polymer, wherein the drug delivery system is designed to be implanted in the eye. The kit may also include instructions for use.

[00058] The bioerodible drug delivery systems as described herein are suitable for use in the kits of the invention. In a preferred embodiment, the immunosuppressive agent is dexamethasone.

[00059] The invention is further described by the following nonlimiting examples.

## EXAMPLES

### **Example 1. Effect of dexamethasone implant in animal penetrating keratoplasty model.**

[00060] The objective of this study was to determine the efficacy of sustained release intraocular dexamethasone implanted in the anterior chamber of the rat eye at the end of cornea transplant surgery and compare it with local eye drop therapy. The approximately 120  $\mu$ g dexamethasone implant contained about 15% HPMC, 35% PLGA and 50% dexamethasone, and was prepared and tested *in vitro* as described in U.S. Patent No. 5,869,079 (See Example 1), which is specifically incorporated herein by reference in its entirety.

[00061] In order to create a very high risk of cornea rejection, a xenograft model was chosen. Mouse cornea from 12 mice of either sex were used as donor tissues for rat.

[00062] Eighteen rats of either sex were used in the study. They were divided into 3 groups. Group #1 - 6 animals received treatment with the dexamethasone implant, Group #2 – received treatment with topical steroid and Group #3 – control group (without any treatment). Animals were followed up to 8 weeks. After euthanasia eyes were sent for histopathology examination.

[00063] Table 1. Study design

Animal #	Group #	Eye	Treatment
1	1	OD	Dex implant
2	1	“	“
3	1	“	“

4	1	“	“
5	1	“	“
6	1	“	“
7	2	“	Dex eye drops
8	2	“	“
9	2	“	“
10	2	“	“
11	2	“	“
12	2	“	“
13	3	“	Control (no treatment)
14	3	“	“
15	3	“	“
16	3	“	“
17	3	“	“
18	3	“	“

[00064] Supplies: 0.5% Ophthaine Solution, euthasol solution, ketamine HCl, xylazine

#### ANIMAL PREPARATION AND SURGICAL PROCEDURE

[00065] Obtaining donor corneas: Each mouse was weighed and anesthetized. While under anesthesia, the ophthalmologist collected all donor cornea buttons from mice using trephine. After the procedure mice were euthanized by a lethal dose of Euthasol.

[00066] Penetrating keratoplasty (PKP): Each rat was weighed and anesthetized. Using 2.5 mm trephine an initial incision was made in the middle of cornea. The incision was finished using corneal scissors. The anterior chamber (AC) was held using balanced salt solution (BSS). The donor cornea button was attached to the host cornea with 8 interrupted sutures with 11-0 nylon. Before closing the anterior chamber, the dexamethasone implant was implanted into the AC of the first 6 animals.

[00067] All eighteen rats survived the procedure. All eyes were examined by an ophthalmologist by slit lamp and all signs of cornea rejection (neovascularization, edema, etc.) were recorded.

[00068] In group #2, all animals received 2 drops of Dexamethasone eye drops every

day, until the rejection occurred.

[00069] Based on clinical observation, rejection of cornea in Group #3 (control) occurred in the first few days after surgery, and by week one 80% of donors' cornea were rejected, by week two 100%. Corneas were showing heavy neovascularization in the first few days followed by corneal edema and total rejection. Group #2 (topical Dexamethasone eye drops) had similar signs observed in this group with some delay. 20% of cornea rejection occurred by week two, 50% by week three, and 80% by week six. At the time of euthanasia (week 8) only 20% were not completely rejected.

[00070] However, in group #1, treated with the dexamethasone implant, the corneas did not show any signs of rejection (neovascularization, edema). In all eyes the corneas stayed clear. By the end of the study (week eight) the graft survival was 100%.

[00071] Histopathology examination confirmed the clinical observations. In Group #3 heavy inflammation was observed in AC, cornea endothelium, also in the stroma, and some in the epithelium. Corneas also showed edema due to destroyed endothelial cells.

[00072] In Group #2 similar findings were observed.

[00073] In Group #1, inflammation was totally suppressed by the dexamethasone implant.

[00074] The entire clinical and histological finding in this study clearly demonstrated that intraocular sustained release Dexamethasone can prevent corneal rejection in a high-risk xenograft model.

**Example 2: Manufacture And *In vitro* Testing Of Bioerodible Dexamethasone  
Posterior Segment Drug Delivery System (DEX PS DDS®)**

[00075] 2100 mg of dexamethasone powder (Upjohn) (particle sizes less than 10  $\mu$ m in diameter) were mixed with 900 mg of 50/50 polylactic acid polyglycolic acid (PLGA) (particle sizes approximately 9-12  $\mu$ m in diameter) at ambient temperature. A small Teflon® tube was filled with 900-1100  $\mu$ g of the above mixture, and placed directly on the die cavity. The powder was pushed out of the tubing into the die cavity with a stainless steel wire and the tube and wire were removed from the die. The powder was pressed using a tablet press (approximately 76 psi), ejected with the ejector switch, and removed with tweezers. The resulting pellet was approximately 2mm x 0.75mm.

[00076] Release of dexamethasone from the DEX PS DDS® system was measured. One DDS was placed in a glass vial filled with receptor medium (0.9% NaCl in water). To allow for "infinite sink" conditions, the receptor medium volume was chosen so that the concentration would never exceed 5% of saturation. To minimize secondary transport phenomena, *e.g.* concentration polarization in the stagnant boundary layer, the glass vial was placed into a shaking water bath at 37°C. Samples were taken for HPLC analysis from the vial at defined time points. The HPLC method was as described in USP 23(1995) pp.1791-1798. The concentration values were used to calculate the cumulative release data, as shown in Table 2.

**Table 2. DEX PS DDS® In vitro Release**

Day	% Total Release
1	10.1
2	16.4
7	39.4
14	55.5
21	69.3
28	80.7
35	88.1

[00077] Table 2 shows an almost linear *in vitro* release of dexamethasone over a one month period of time.

**Example 3: In vivo Testing Of DEX PS DDS® In Rabbits**

[00078] One DEX PS DDS® per eye was implanted into the vitreous of four rabbits with forceps. The *in vivo* vitreous concentrations of dexamethasone in each of the four eyes were monitored by vitreous sampling. For example, at day 2 the concentrations measured were 0.03 µg/ml, 0.1 µg/ml, 0.33 µg/ml and 0.19 µg/ml. The concentrations in each of the four eyes were measured on days 2, 7, 21, 28 and 35; the average results are summarized in Table 3. The volume of rabbit eyes is approximately 60-70% percent that of human eyes.

**Table 3. In vivo concentrations of dexamethasone (DDS placed with forceps)**

Day	$\mu\text{g/ml}$
2	$0.16 \pm 0.13$
7	$0.15 \pm 0.16$
21	$0.08 \pm 0.07$
28	$0.005 \pm 0.01$
35	$0.037 \pm 0.03$

[00079] The same DDS was tested *in vivo* in rabbits, wherein the DDS was placed to a depth of about 5-10 mm in the vitreous with trocar. The levels of dexamethasone in the vitreous are shown in Table 4.

**Table 4. In vivo concentrations of dexamethasone (DDS placed with trocar)**

Sample ID	5293-D	5295-D	5293-S	5295-S	5304-D	5306-D	5304-S	5306-S	Avg	SD
Hours	Sample Conc., $\mu\text{g/ml}$									
2	0.56	3.07							1.82	1.77
4			5.48	6.95					6.22	1.04
6					2.08	5.15			3.62	2.17
24							2.33	2.69	2.51	0.25

Animal#/day	DDS wt.	Dex wt.	Dex $\mu\text{g/mL}$					
	$\mu\text{g}$	$\mu\text{g}$	2	7	14	21	28	35
21427-D	990	693	2.29					
21427-S	1023	715.1	1.56					
21433-D	804	562.8	1.2					
21433-S	1057	739.9	0.77					
21428-D	1003	702.1		9.26				
21428-S	1025	717.5		0.35				
21434-D	863	604.1		3.31				

21434-S	1106	774.2	0.84					
21429-D	1013	709.1	n/a					
21429-S	927	648.9	0.19					
21435-D	1104	772.8	0.43					
21435-S	941	658.7	0.11					
21432-D	860	692		0.43				
21432-S	941	685.7		1.72				
21436-D	1010	707		0.31				
21436-S	1054	737.8		0.13				
21431-D	996	697.2			0.52			
21431-S	918	642.6			1.15			
21437-D	1049	732.9			0.19			
21437-D	1075	752.5			0.48			
21430-D	994	695.8				0.06		
21430-S	1086	760.2				0.18		
21438-D	974	681.8				0.03		
21438-S	831	581.7				8.35		
Ave.	985.17	694.43	1.46	3.44	0.24	0.65	0.59	2.16

\* Unable to determine due to insufficient sample

[00080] The data indicate that the DEX PS DDS® releases dexamethasone to the vitreous in concentrations above 0.01 µg/ml for an extended period of time. Further, the data indicate that placement of the device with trocar results in much higher levels of drug release than with placement with forceps, most likely due to placement of the device deeper within the vitreous. The data at two, four, six, and 24 hours in Table 4 shows an initial spike of drug release.

**Example 4: Manufacture And *In vitro* Testing Of 50/50 Dexamethasone/PLGA  
Posterior Segment Drug Delivery System**

[00081] 2.5 g of PLGA (particle sizes approximately 9-12 µm in diameter) were placed in a mixing vessel. The vessel was placed in the oven (130°C) for ten minutes.

2.5 g of dexamethasone (particle sizes less than approximately 10  $\mu\text{m}$  in diameter) were added to the vessel, and the vessel was returned to the oven for 10 minutes. The PLGA/dexamethasone mixture was mixed well, the blend loaded into a barrel, and 650-790  $\mu\text{m}$  diameter filaments extruded. The resulting filaments were cut into lengths of approximately 0.94 and 1.87 mm for the 500  $\mu\text{g}$  and 1000  $\mu\text{g}$  formulations, respectively.

[00082] Release of dexamethasone from the 50/50 dexamethasone/PLGA DDS formulations were measured. One DDS was placed in a glass vial filled with receptor medium (0.9% NaCl in water). To allow for "infinite sink" conditions, the receptor medium volume was chosen so that the concentration would never exceed 5% of saturation. To minimize secondary transport phenomena, *e.g.* concentration polarization in the stagnant boundary layer, the glass vial was placed into a shaking water bath at 37°C. Samples were taken for HPLC analysis from the vial at defined time points. The HPLC method was as described in USP 23(1995) pp.1791-1798. The concentration values were used to calculate the cumulative release data, as shown in Tables 5 and 6.

**Table 5. In vitro release of 50% Dex-PS (0.5 mg formulation)**

**50% Dex PS 0.5 mg system replicate 1**

Day	Dex $\mu\text{g}$ Release/day	% Total release
1	3.00	1.41
7	1.99	7.93
13	0.90	13.43
20	1.79	30.21
27	1.54	49.77
34	1.93	80.52
41	0.24	85.05
48	0.24	90.38
55	0.10	93.00
62	0.15	97.44
69	0.07	99.84
76	0.07	102.25

## 50% Dex PS 0.5 mg system replicate 2

Day	Dex $\mu$ g Release/day	% Total release
1	6.00	2.17
7	1.66	6.38
13	0.99	11.05
20	1.21	19.82
27	2.29	42.23
34	2.34	71.05
41	0.44	77.54
48	0.29	82.61
55	0.14	85.34
62	0.20	89.80
69	0.10	92.21
76	0.06	84.38

## 50% Dex PS 0.5 mg system replicate 3

Day	Dex $\mu$ g Release/day	% Total release
1	5.70	3.27
7	1.11	7.71
13	0.83	13.83
20	0.05	14.47
27	1.63	39.63
34	1.52	69.26
41	0.21	74.10
48	0.19	79.23
55	0.08	81.69
62	0.14	86.58
69	0.07	89.46
76	0.06	92.26



**Table 6. In vitro release of 50% Dex-PS (1 mg formulation)**

50% Dex PS 1 mg system replicate 1

Day	Dex $\mu$ g Release/day	% Total release
1	6.90	1.28
7	3.48	5.78
13	1.93	10.43
20	3.46	23.22
27	3.74	41.89
34	3.94	66.83
41	1.79	80.17
48	1.28	91.49
55	0.21	93.59
62	0.24	96.39
69	0.11	97.85
76	0.09	99.11

50% Dex PS 1 mg system replicate 2

Day	Dex $\mu$ g Release/day	% Total release
1	3.90	0.71
7	2.26	3.62
13	1.66	7.57
20	3.14	19.09
27	4.32	40.48
34	4.06	65.77
41	1.61	77.90
48	1.34	89.70
55	0.19	91.60
62	0.23	94.18
69	0.10	95.50
76	0.09	96.78

## 50% Dex PS 1 mg system replicate 3

Day	Dex $\mu$ g Release/day	% Total release
1	4.50	0.91
7	2.16	3.98
13	1.69	8.42
20	1.25	13.48
27	3.88	34.67
34	3.53	58.97
41	1.85	74.28
48	0.88	82.85
55	0.19	84.94
62	0.26	88.15
69	0.11	89.75
76	0.10	91.26

**Example 5: *In vivo* Testing Of 50/50 Dexamethasone/PLGA 1 mg Formulations**  
**In Rabbits**

[00083] One 50/50 dexamethasone/PLGA 1 mg formulation DDS per eye was implanted into the vitreous of 6 rabbits using a trocar. The DDS was loaded into the trocar, a hole was punched through the sclera, the trocar inserted through the hole, and the trocar plunger depressed to insert the DDS into the vitreous. *In vivo* vitreous concentrations of dexamethasone were monitored, as shown in Table 7.

**Table 7. *In vivo* vitreous concentrations of dexamethasone**

Sample ID	5293-D	5295=D	5293-S	5295-S	5304-D	5306-D	5304-S	5306-S	Avg	SD
Hours	Sample Conc., $\mu$ g/ml									
2	1.38	1.69							1.54	0.22

4			2.16	0.96					0.47	0.37
6					0.73	0.21			0.47	0.37
24							0.57	0.74	0.66	0.12

Animal#\day	Dex $\mu\text{g/mL}$				
	7	21	35	49	63
2953-D	0.5			0.58	
2953-S	0.11			0.69	
2952-D	0.13			1.2	
2952-S	0.12			0.55	
2946-D		0.19			2.55
2946-S		* 3			0.14
2949-D		* 5.44			0.28
2949-S		0.0248			0.01
2982-D			1.087		
2982-S			0.058		
2983-D			0.018		
2983-S			0.045		
Ave.	0.22	2.16	0.30	0.76	0.75

\* High level was due to the surgical artifact

[00084] The data indicate that the 50/50 dexamethasone/PLGA DDS releases dexamethasone to the vitreous in concentrations above 0.01  $\mu\text{g/ml}$  for an extended period of time. The data at two, four, six, and 24 hours in Table 7 shows an initial spike of drug release, due to drug which is unencapsulated by the delivery system.

[00085] Modifications of the above described modes for carrying out the invention that are obvious to those of ordinary skill in the surgical, pharmaceutical, or related arts are intended to be within the scope of the following claims.

## Claims

1. The use of an immunosuppressive agent and a bioerodible polymer in the manufacture of a bioerodible drug delivery system for use in a method for reducing or preventing transplant rejection in an eye of an individual, wherein the method comprises
  - a) performing an ocular transplant procedure on an eye of an individual; and
  - b) placing in the eye a bioerodible drug delivery system comprising an immunosuppressive agent and a bioerodible polymer.
2. The method of claim 1, wherein the ocular transplant procedure is a retinal pigment epithelium (RPE) transplant or a cornea transplant.
3. The method of claim 2, wherein the ocular transplant procedure is an RPE transplant.
4. The method of claim 2, wherein the ocular transplant procedure is a cornea transplant.
5. The method of claim 1, wherein the bioerodible drug delivery system is placed in the anterior chamber of the eye.
6. The method of claim 1, wherein the bioerodible drug delivery system is placed in the vitreous cavity of the eye.
7. The method of claim 1, wherein the immunosuppressive agent is selected from the group consisting of dexamethasone, cyclosporin A, azathioprine, brequinar, gusperimus, 6-mercaptopurine, mizoribine, rapamycin, tacrolimus (FK-506), denopterin, edatrexate, methotrexate, piritrexim, pteropterin, Tomudex®, trimetrexate, cladribine, fludarabine, 6-mercaptopurine, thiamiprine, thioguanine, ancitabine, azacitidine, 6-

azauridine, carmofur, cytarabine, doxifluridine, emitefur, enocitabine, floxuridine, fluorouracil, gemcitabine, egafur, fluocinolone, triaminolone, anecortave acetate, fluorometholone, medrysone, and prednisolone.

8. The method of claim 7, wherein the immunosuppressive agent is dexamethasone.

9. The method of claim 8, wherein the bioerodible drug delivery system comprises about 50% by weight of dexamethasone, about 15% by weight of hydroxypropyl methylcellulose (HPMC) and about 35% by weight of polylactic polyglycolic acid (PLGA).

10. The method of claim 8, wherein the bioerodible drug delivery system comprises about 60% by weight of dexamethasone and about 40% by weight of polylactic polyglycolic acid (PLGA).

11. The method of claim 8, wherein the bioerodible drug delivery system comprises about 50% by weight of dexamethasone and about 50% by weight of polylactic polyglycolic acid (PLGA).

12. The method of claim 7, wherein the immunosuppressive agent is cyclosporin A.

13. The method of claim 1, wherein the bioerodible polymer is a polyester.

14. The method of claim 1, wherein the bioerodible polymer is a polylactic acid polyglycolic acid (PLGA) copolymer.

15. The method of claim 14, wherein the implant further comprises hydroxy propyl methyl cellulose (HPMC).

16. The method of claim 1, wherein the individual is a human.

17. The use of an immunosuppressive agent and a bioerodible polymer in the manufacture of a bioerodible drug delivery system for use in a method for preventing transplant rejection in the eye of an individual, wherein the method comprises:

- a) performing an ocular transplant procedure; and
- b) implanting a solid body into the eye; wherein the solid body comprises particles of an immunosuppressive agent entrapped within a bioerodible polymer; and whereby the immunosuppressive agent is released from the solid body by erosion of the bioerodible polymer.

18. The use of dexamethasone or cyclosporin A and a bioerodible polymer in the manufacture of a bioerodible drug delivery system for use in a method comprising

- a) performing a retinal pigment epithelium transplant or a cornea transplant on an eye of an individual; and
- b) placing in the eye a bioerodible drug delivery system comprising dexamethasone or cyclosporin A and a bioerodible polymer.

19. The method of claim 18, wherein the bioerodible drug delivery system is placed in an anterior chamber of the eye.

20. The method of claim 18, wherein the bioerodible drug delivery system is placed in a vitreous cavity of the eye.

21. The method of claim 18, wherein the bioerodible drug delivery system comprises about 50% by weight of dexamethasone or cyclosporin A, about 15% by weight of hydroxypropyl methylcellulose (HPMC), and about 35% by weight of polylactic polyglycolic acid (PLGA).

22. The method of claim 18, wherein the bioerodible drug delivery system comprises about 60% by weight of dexamethasone or cyclosporin A and about 40% by weight of polylactic polyglycolic acid (PLGA).

23. The method of claim 18, wherein the bioerodible drug delivery system comprises about 50% by weight of dexamethasone or cyclosporin A and about 50% by weight of polylactic polyglycolic acid (PLGA).

24. The method of claim 18, wherein the bioerodible polymer is a polyester.

25. The method of claim 18, wherein the bioerodible polymer is a polylactic acid polyglycolic acid (PLGA) copolymer.

26. The method of claim 25, wherein the implant further comprises hydroxy propyl methyl cellulose (HPMC).

27. The method of claim 18, wherein the individual is a human.

28. The use of an immunosuppressive agent and a bioerodible polymer in the manufacture of a bioerodible drug delivery system for use in a method comprising placing in an eye of an individual a bioerodible drug delivery system; wherein the bioerodible drug delivery system includes an immunosuppressive agent and a bioerodible polymer; and wherein the eye of the individual has undergone or is undergoing an ocular transplant procedure.

29. The method of claim 28, wherein the ocular transplant procedure is a retinal pigment epithelium (RPE) transplant or a cornea transplant.

30. The method of claim 29, wherein the ocular transplant procedure is an RPE transplant.

31. The method of claim 29, wherein the ocular transplant procedure is a cornea transplant.

32. The method of claim 28, wherein the bioerodible drug delivery system is placed in the anterior chamber of the eye.

33. The method of claim 28, wherein the bioerodible drug delivery system is placed in the vitreous cavity of the eye.

34. The method of claim 28, wherein the immunosuppressive agent is selected from the group consisting of dexamethasone, cyclosporin A, azathioprine, brequinar, gusperimus, 6-mercaptopurine, mizoribine, rapamycin, tacrolimus (FK-506), denopterin, edatrexate, methotrexate, piritrexim, pteropterin, Tomudex®, trimetrexate, cladribine, fludarabine, 6-mercaptopurine, thiamiprine, thiaguanine, ancitabine, azacitidine, 6-azauridine, carmofur, cytarabine, doxifluridine, emitefur, enocitabine, floxuridine, fluorouracil, gemcitabine, egafur, fluocinolone, triaminolone, anecortave acetate, fluorometholone, medrysone, and prednisolone.

35. The method of claim 34, wherein the immunosuppressive agent is dexamethasone.

36. The method of claim 35, wherein the bioerodible drug delivery system comprises about 50% by weight of dexamethasone, about 15% by weight of hydroxypropyl methylcellulose (HPMC) and about 35% by weight of polylactic polyglycolic acid (PLGA).

37. The method of claim 35, wherein the bioerodible drug delivery system comprises about 60% by weight of dexamethasone and about 40% by weight of polylactic polyglycolic acid (PLGA).

38. The method of claim 35, wherein the bioerodible drug delivery system comprises about 50% by weight of dexamethasone and about 50% by weight of polylactic polyglycolic acid (PLGA).

39. The method of claim 34, wherein the immunosuppressive agent is cyclosporin A.

40. The method of claim 28, wherein the bioerodible polymer is a polyester.



41. The method of claim 28, wherein the bioerodible polymer is a polylactic acid polyglycolic acid (PLGA) copolymer.

42. The method of claim 41, wherein the implant further comprises hydroxy propyl methyl cellulose (HPMC).

43. The method of claim 28, wherein the individual is a human.

44. A kit, comprising:

a) a bioerodible drug delivery system comprising an immunosuppressive agent and a bioerodible polymer, wherein the drug delivery system is designed to be implanted in the eye; and

b) instructions for use.

45. The kit of claim 44, wherein the immunosuppressive agent is dexamethasone.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
6 June 2002 (06.06.2002)

PCT

(10) International Publication Number  
**WO 02/043785 A3**

(51) International Patent Classification<sup>7</sup>: **A61L 27/54**,  
A61K 9/00, 31/573, 38/13, A61P 37/06, 27/02

(21) International Application Number: PCT/US01/44481

(22) International Filing Date:  
28 November 2001 (28.11.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/250,023 29 November 2000 (29.11.2000) US  
60/298,253 12 June 2001 (12.06.2001) US

(71) Applicant (*for all designated States except US*): **OCULEX  
PHARMACEUTICALS, INC.** [US/US]; 601 W. California  
Street, Sunnyvale, CA 94086 (US).

(72) Inventor; and

(75) Inventor/Applicant (*for US only*): **WONG, Vernon, G.**  
[US/US]; 180 Sand Hill Circle, Menlo Park, CA 94025  
(US).

(74) Agents: **REILLY, Philip, D.** et al.; Morrison & Foerster  
LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,  
SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN,  
YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,  
GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent  
(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,  
NE, SN, TD, TG).

Published:

— with international search report

(88) Date of publication of the international search report:  
21 November 2002

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: INTRAOCULAR IMPLANTS FOR PREVENTING TRANSPLANT REJECTION IN THE EYE

(57) Abstract: Methods for reducing or preventing transplant rejection in the eye of an individual are described, comprising: a) performing an ocular transplant procedure; and b) implanting in the eye a bioerodible drug delivery system comprising an immunosuppressive agent and a bioerodible polymer.

WO 02/043785 A3

# INTERNATIONAL SEARCH REPORT

Interna	Application No
PCT/US 01/44481	

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 7 A61L27/54 A61K9/00 A61K31/573 A61K38/13 A61P37/06  
 A61P27/02

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K A61P A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

MEDLINE, EPO-Internal, BIOSIS, CHEM ABS Data, WPI Data, PAJ

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	APEL A ET AL: "A subconjunctival degradable implant for cyclosporine delivery in corneal transplant therapy." CURRENT EYE RESEARCH. ENGLAND AUG 1995, vol. 14, no. 8, August 1995 (1995-08), pages 659-667, XP001083691 ISSN: 0271-3683 abstract "Polymer devices" on page 660 final paragraph of the discussion ---	1-45
X	WO 00 37056 A (WONG VERNON ;PENG LIN (US); OCULEX PHARM INC (US)) 29 June 2000 (2000-06-29) examples 1-7 --- <div style="text-align: center;">-/--</div>	44,45

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \* & \* document member of the same patent family

Date of the actual completion of the international search

28 June 2002

Date of mailing of the international search report

15/07/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax (+31-70) 340-3016

Authorized officer

Pilling, S

## INTERNATIONAL SEARCH REPORT

Internati Application No  
PCT/US 01/44481

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	TAN DONALD T H ET AL: "Randomized clinical trial of a new dexamethasone delivery system (Surodex) for treatment of post-cataract surgery inflammation." OPTHALMOLOGY, vol. 106, no. 2, February 1999 (1999-02), pages 223-231, XP001083687 ISSN: 0161-6420 abstract page 223, column 1, line 1 -page 224, column 1, line 19 ----	44,45
X	MORITA YASUSHI ET AL: "Intravitreal delivery of dexamethasone sodium m-sulfobenzoate from poly(DL-lactic acid) implants." BIOLOGICAL & PHARMACEUTICAL BULLETIN, vol. 21, no. 2, February 1998 (1998-02), pages 188-190, XP001084085 ISSN: 0918-6158 abstract "Preparation of ocular implants" on page 188 ----	44,45
X	GOULD L ET AL: "Fifty:fifty poly (DL glycolic acid-lactic acid) copolymer as a drug delivery system for 5-fluorouracil: a histopathological evaluation." CANADIAN JOURNAL OF OPTHALMOLOGY. JOURNAL CANADIEN D'OPHTALMOLOGIE. CANADA AUG 1994, vol. 29, no. 4, August 1994 (1994-08), pages 168-171, XP001083692 ISSN: 0008-4182 "Methods" on page 169 abstract ----	44,45
X	DAVIS, P. A. ET AL: "Intraocular implant for controlled 5-fluorouracil release" PROC. INT. SYMP. CONTROLLED RELEASE BIOACT. MATER., 19TH (1992), 339-40. EDITOR(S): KOPECEK, JINDRICH. PUBLISHER: CONTROLLED RELEASE SOC., DEERFIELD, ILL. , XP001084532 Introduction, Experimental Methods and Conclusions -----	44,45

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Internat Application No  
PCT/US 01/44481

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 0037056	A	29-06-2000	US 6369116 B1 09-04-2002
			AU 2593900 A 12-07-2000
			WO 0037056 A2 29-06-2000
<hr/>			